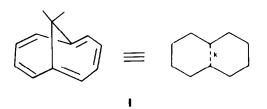
STRUCTURE-RESONANCE THEORY FOR HOMOAROMATIC BRIDGED ANNULENES

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Abstract—The π systems of bridged annulenes can be represented by π molecular graphs with homoconjugative interactions at the bridge positions. Nonbonding MO's of odd molecular graphs derived from the parent bridged annulene graphs can be used to carry out structure-resonance theory calculations. A general outline of possible applications is given. Specific comparisons are made between calculated bond orders and bond lengths, and between calculated and experimental ionization potentials. The concept of neutral homoaromaticity is supported by good agreement between calculated and experimental properties.

Bridged annulenes¹ can be represented as delocalized species in which the bridged portions of the annulene system are presumed to be linked by "through space" homoconjugative interactions.²⁻¹³ In π molecular orbital approximations the value of the transannular resonance integral is estimated to be about 40% of that between neighboring 2p_z orbitals in benzene.¹³ The π system of 1,6-methano[10]annulene can therefore be modeled as the perturbed naphthalene π molecular graph shown in 1.



This naive first-order approximation has been shown to be useful in interpretations of electronic spectra^{2,3,13} and photoelectron spectra,⁴⁻⁶ and in calculations of delocalization energies.^{7,9-12} The PMO theory of homoaromatic ions has also been extensively discussed^{7,8} using the perturbed annulene model.

The purposes of this paper are to demonstrate that this simplified model for bridged annulenes has a valence bond structural interpretation, and to propose that the model can therefore be used to carry out valence bond structure-resonance theory calculations,¹⁴ the results of which are related to chemical and physical properties. To do this, it will be shown that odd alternant fragments of conjugated bridged π molecular systems possess a nonbinding molecular orbital (NBMO), and that the unnormalized coefficients of the NBMO can be related to numbers of Kekulé structures (structure count, SC) for reactive intermediates and for the parent even molecular systems.¹⁵⁻¹⁸ This approach will allow the definition of a new concept, the fractional non-integral Kekulé structure count for a bridged annulene molecule. Then, the newly defined SC's and other derived quantities will be compared with relevant experimental properties.

Calculations of resonance energies, $^{19-21}$ Pauling bond orders, 22 and correlations of the calculations with ionization potentials $(IP's)^{23}$ and bond lengths $^{24-26}$ will be demonstrated. Each one of these applications is analogous to already published investigations in normal unsaturated conjugated hydrocarbons. Examples of each type of calculation for bridged annulenes will be given, hopefully sufficient to demonstrate a potential usefulness of the theory. The justifications for the procedures to be developed are primarily based on the good agreement of experimental values with calculations in the previous work, so a brief summary of the prior results will be given where appropriate.

THEORY

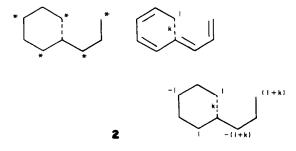
NBMO's for Bridged Annulene Fragments. The deletion of one vertex from the π molecular graph of a bridged annulene gives an odd alternant graph that formally has an NBMO. The unnormalized coefficients of the NBMO can be written by inspection using the following two rules.

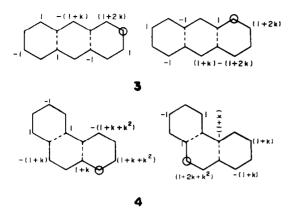
(1) The coefficients obey the zero sum rule, eq. 1, derivable from the secular equations.¹⁵

$$\sum_{i} a_{i} \beta_{ij} = 0 \ (i \text{ adj. to } j) \tag{1}$$

(2) The absolute value of any coefficient corresponds to the actual number of Kekulé structures that can be written with charge or odd electron located at the selfsame position.^{15,16}

As an example let us consider the fragment obtained by deletion of the α vertex from the graph of 1,6methano[10]annulene. The coefficients at the unstarred positions are zero from rule 1. The coefficient at the indicated position (2nd graph in 2) is unity from rule 2, and the remaining coefficients follow using rule 1. Coefficients for odd fragments derived from the molecular π graphs of dibridged [14]annulenes are given as further examples in 3 and 4. The position of the deleted vertex is shown by an open circle and transannular bonds are indicated by dashed lines.

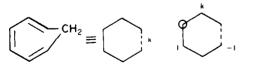




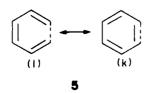
Structure count. The number of structures for a benzenoid odd cation, anion, or radical is the sum of the absolute values of the unnormalized coefficients of the NBMO.¹⁵⁻¹⁸ The number of Kekulé structures for the parent hydrocarbon is the absolute value of the sum of the coefficients adjacent to the deleted vertex.^{17,18} The non-integral structure counts for bridged annulene odd fragments or parent systems are defined analogously. Note that these SC's of the parent bridged annulene are completely independent of the chosen position of the deleted vertex, see 3 and 4.

Consideration of cycloheptatriene described as a bridged annulene in 5 will exemplify the concept. Two Kekulé-type structures can be drawn for the bridged annulene; the relative weights are unity for the structure containing double bonds in the normal cycloheptatriene positions, and k for the structure that makes use of the bridging position as a bond locus. Both structures contribute to the resonance hybrid structure, but the fractional contribution of the k-structure will be less than 50% depending on the numerical value of k. The SC is simply 1 + k instead of the value SC = 2 which would be obtained for the normal benzene π system. Similarly the SC's for the parent bridged systems corresponding to the graphs in 2-4 are 2+k, 2+2k, and $2+2k+k^2$ respectively. These may be compared to the SC's for naphthalene, anthracene, and phenanthrene, 3, 4, and 5, respectively.

Pauling bond orders. The most inefficient method of finding Pauling π bond orders is to draw all Kekulé structures, finally obtaining the number of structures in which each bond is double divided by the total number



SC(parent) = 1 + k

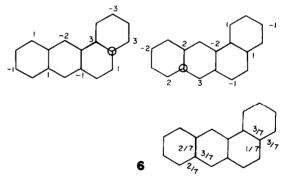


of structures. Several more efficient methods for benzenoid systems have been summarized in a review.²⁵ The quickest approach is to use the fact that the odd fragment NBMO coefficients also enumerate the numbers of Kekulé structures of the parent system in which the bond to the deleted vertex position is double. To illustrate, graphs of deleted vertex fragments of benz[a]anthracene are shown in 6. The determined Pauling bond orders are also shown, and all remaining bond orders follow because the sum of Pauling bond orders around any vertex of the π molecular graph must equal unity.

The Pauling bond orders for the bridged annulenes are likewise defined using the perturbed coefficients of NBMO's shown, e.g. in 2-4. Particular examples will be given in the results section. A partial justification for this definition can be obtained by using the HMO definition of *Pauling* bond order, eqn (2).²⁷ The Pauling bond orders for bridged annulenes defined by

$$p_{rs}(Pauling) = 2 \sum_{(HMO)}^{j(occ.)} c_{jr} c_{js} / \epsilon_{j}^{HMO}$$
(2)

the HMO calculation give exactly the same results as the procedures outlined above that are based on the NBMO coefficients.



RESULTS AND DISCUSSION

SC's and resonance energies. The SC's and resonance energies for several bridged annulene molecular graphs are listed in Table 1. The positions of bridging groups and homoconjugative interactions are indicated by dotted bonds. Variable k's are possible for different types of bridging interactions, and two values of k are used. The previously accepted value of 0.4 is employed in those cases of bridged annulenes where the perimeter of the molecular graph does not include bridging groups,¹ ³ and a more tentative value of $\mathbf{k}' = 0.16$ is used for molecular systems that possess a perimeter structure which incorporates homoconjugative interactions. Cycloheptatriene would be the first member of this latter series, and the reduced value of k for this compound can be justified on the basis of comparisons of calculated and experimental properties to be discussed in the next two sections.

The resonance energies are obtained using the structure-resonance theory algorithm, eqn (3). This equation precisely correlates with resonance

$$RE = 1.19 \ln(SC)$$
 (3)

energies for benzenoid and non-benzenoid aromatic hydrocarbons obtained by LCAO-MO-SCF methods.¹⁹

Bridged Annulene π-system	SC	SC k = 0.4 k ⁴ = 0.16	RE(eV)≡ 1.191n(SC)	ZRE ^a	Topological ^b RE(β)
Ć.	.1+k	1.16	0.18	21	0.180 ^c
\bigcirc	2+k	2.4	1.04	80	0.290 ^c
	2+2k	2.8	1.23	74	0.319 ^c
	2+2k '	2.32	0.84	61	
	2+3k	3.2	1.38	72	0.351 ^c
() 	1+2k'	1.32	0.33	25	0.248 [°]
() K K K	1+3k'	1.48	0.47	28	
Q-\$P	2+2k+k ²	2.96	1.29	67	0.336 ^C
	2+3k+2k ²	3.52	1.50	65	
∞	3+k	3.4	1.46	88	
$\langle \mathcal{H} \rangle$	3+2k	3.8	1.59	83	
(K)	4+2k	4.8	1.87	88	

Table 1. SC's and resonance energies for bridged annulenes

^aCompared to the isostructural benzenoid hydrocarbon. ^bGraph-theoretical definition of resonance energy, ref. 9,28,29. cRef. 12, 30.

According to these calculations, the bridged annulenes are substantially resonance stabilized. If one compares their resonance energies with the calculated resonance energies of the analogous benzenoids, the % resonance energies range from 21% (bridged benzene) to 88% (bridged pyrene and bridged benzo[b]naphthalene). There are no experimental thermochemical determinations of resonance energies for these systems. However, graph theoretical topological resonance energies^{9,28,29} (TRE) have been calculated for a few bridged annulenes,^{12,30} and are also listed in Table 1. The correlation coefficient of the TRE with the SC resonance energies is 0.961.

The results given in Table 1 are listed primarily to indicate the potential scope of this theoretical approach. The experimental resonance energy of any particular bridged annulene will depend upon details of ring conformation that are not included in this naive theory. However, 4n + 2 bridged annulene systems with noticeably bent aromatic rings have been demonstrated to have physical properties that are indicative of aromaticity, even with torsional angles for the bonds of the order of 30 to 50°.^{1,31-33} Consequently, for the majority of bridged

annulenes, the SC or the calculated RE should give a good qualitative ordering of stabilization due to cyclic delocalization. Of course, both SC and calculated RE depend upon the chosen value of k. One advantage of this approach is that the effects of variations in k are simply deduced from the general expressions for SC as given in Table 1.

Bond orders and bond lengths. Structure-resonance theory bond orders are compared with bond lengths for cycloheptatriene³⁴ and five recently determined bridged annulene crystal structures³⁵⁻³⁹ in Table 2. The general formulas in terms of k for the bond orders are determined as explained earlier. The predicted bond lengths are calculated from a linear bond length-bond order equation obtained by fitting Pauling bond orders to accurately known bond lengths in standard substances^{25,26} (ethylene, butadiene, benzene, graphite, ave. dev., expt. - calc., ± 0.002 Å), eqn (4). This equation correlates

$$d = 1.463 - 0.124 p(Pauling)$$
 (4)

bond length data for alternant unsaturated and benzenoid

Table 2	Bond	orders	and	bond	lengths.
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# Compound	Molecular Graph and SC (k=0.40,k =0.16)	Bond	Bond Order	Bond Length (Calc.)	Bond Length (Expt.)
5 CH2	c SC=1+k	a (1.0) b (k) c (1.0)	.862 .138 .862	1.356 1.446 1.356	1.356 ^a 1.446 1.356
	$a \underbrace{\int_{SC=2+k}^{b} \frac{c}{k}}$	a (1.0) b (1+k) c (1.0)	.417 .583 .417	1.411 1.391 1.411	1.418 ^b 1.377 1.405
3 Сн ₂ сн ₂	$a \underbrace{\bigcup_{SC=2+2k}^{b}}_{SC=2+2k}$	a (1.0) b (1+2k) c (1.0) d (1+k)	.357 .643 .357 .500	1.419 1.383 1.419 1.401	1.403 ^c 1.370 1.413 1.393
7 СН2 СН2 СН2 СН0	$a \underbrace{\bigcup_{SC=1+2k'}^{b}}_{SC=1+2k'} k'$	a (1.0) b (2k) c (1.0) d (k) e (1+k)	.761 .238 .761 .119 .881	1.369 1.433 1.369 1.448 1.354	1.361 ^d 1.429 1.364 1.444 1.352
8 CH ₂ CH ₂ a	b c d c f gk $kSC=1+2k$	a (1.0) b (2k) c (1.0) d (k) e (1+k) f (0.0) g (1+2k) h (0.0)	.556 .444 .556 .222 .778 0.0 1.0 0.0	1.394 1.408 1.394 1.435 1.367 1.463 1.339 1.463	1.381 ^e 1.401 1.386 1.430 1.367 1.441 1.341 1.475
9 CH-CH-CH-	9 f d c b a e k' SC=2+2k'	a (2+k) b (k) c (2.0) d (2k) e (1.0) f (1.0) g (1+2k) h (1.0)	.931 .069 .862 .138 .431 .431 .569 .431	1.348 1.454 1.356 1.446 1.410 1.410 1.392 1.410	$1.326^{f} \\ 1.434 \\ 1.335 \\ 1.451 \\ 1.433 \\ 1.408 \\ 1.358 \\ 1.391 \\ $

^aRef. 34. ^bRef. 38. ^cRef. 36. ^dRef. 37. ^eRef. 35. ^fRef. 39.

hydrocarbons with an average deviation of ± 0.009 Å, slightly better than Coulson bond orders or bond orders from LCAO-MO-SCF calculations.²⁶

The qualitative short-long characters of the predicted bond lengths are in reasonably good agreement with experimental results if k = 0.4 is used for all of the compounds in Table 2. However, the average deviations of predicted and experimental bond lengths in the diformyl compound 7, ±0.022 Å, cycloheptatriene, ± 0.018 Å, and benzoelassovalene 9, ± 0.023 Å, are much larger than the average deviations in 1,6-methano[10]annulene, syn-1,6:8, 13-bis-methano-[14]annulene, and the tropone derivative $\mathbf{8}$, \pm 0.007, 0.011, and 0.009 Å respectively. The fact that cycloheptatriene 7, and 9 are open bridged annulenes with open bridging distances ca. 0.3 Å longer than transannular distances in the other "closed" compounds infers an apt explanation in terms of a reduced value of k for the open compounds. One finds that $\mathbf{k}' = 0.16$ gives exact agreement between calculated (eqn 4) and experimental bond lengths for cycloheptatriene, and it is suggested that this lower value be used for other open bridged annulenes represented by graphs listed in Tables 1 and 2. With this approximation the resonance energy of cycloheptatriene (homobenzene) would be only 21% of the resonance energy of benzene, rather than a calculated 49% if k = 0.4.

More crystal structures will be available in the future, and it is likely that large deviations of predicted bond lengths from experimental values will not all be as easily rationalized. Since this present paper constitutes only a general outline of possible applications of structureresonance theory to bridged annulenes, additional comparisona and discussion will therefore be left to a later date. However, one additional aspect of the bond order results is worth describing, and this is in regard to questions of bond length alternation and measures of aromaticity.⁴⁰⁻⁴³ Combining the results of Tables 1 and 2, one finds for 1,6-methano[10]annulene that as k increases, resonance energy and bond order alternation on the periphery of the molecule both increase. Conversely in 7 (Table 2) bond alternation will decrease as k and resonance energy both increase. From these results, one can see that only a regular alternation of bond lengths around the entire perimeter of an annulene is valid evidence of nonaromaticity. Complete delocalization (high aromaticity) requires partial but substantial alternations in bond lengths, as is found, e.g. in naphthalene and anthracene.²⁴

Ionization Potentials. The lowest adiabatic IP for a particular compound is the difference in energy between neutral molecule and radical cation produced upon ionization. Assuming no major changes in underlying structures upon ionization, the IP should be a linear function of the difference in π resonance energies of neutral and ionized compound. Accordingly the first IP's of twenty-nine compounds were used to establish the linear relationship given in eqn 5.²³ The correlated compounds include cata- and peri-condensed

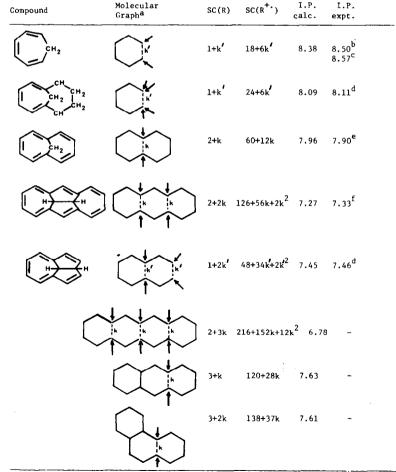
$$IP(eV) = 11.277 + 1.185 \ln[SC(R)] - 1.044 \ln[SC(R^{++})]$$
(5)

benzenoids, nonbenzenoids and nonalternant π hydrocarbons, and open chain and cyclic olefins. The average deviation between calculated and experimental IP's is $\pm 0.16 \text{ eV}$ (correlation coefficient 0.996). Equation (5) shows that the lowest IP of a π molecular hydrocarbon can be interpreted as the energy to remove an electron from an isolated sp² hybridized carbon atom augmented by the resonance energy of the neutral compound $(1.185 \ln[SC(R)])$ and diminished by the resonance energy of the resulting radical cation $(1.044 \ln[SC(R^{+})])$.

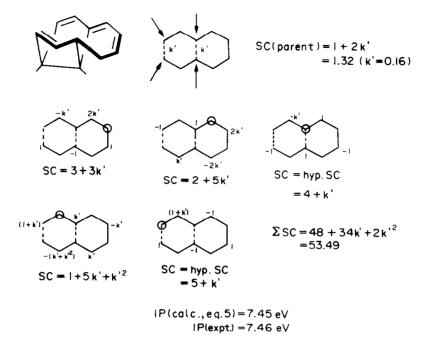
Calculated and experimental IP's^{5,6,44-46} for five bridged annulenes are given in Table 3. The remaining molecular graphs in the table correspond to known molecules,¹ and the range of predicted IP's is reasonably large. Therefore the calculated IP's could serve as tests of the theoretical approach when experimental results become available.

The results in Table 3 are obtained using the same k values (0.40 and 0.16) as used in the bond order calculations. The SC's for the ionized radical cations are calculated by localizing a cationic charge at each independent site in the π graph and summing the SC for the odd electron resonance structures. The cation structures are also assumed to be hyperconjugatively stabilized if the charge is located at the site of an alkyl group or methylene substituent. In line with recent results in correlating gas phase proton affinities of π hydrocarbons,⁴⁷ the hyperconjugation structures are taken to have the same weight as the other covalent structures. The SC summation is illustrated in 10 for elassovalene⁴⁶ where the alkyl group stabilized sites are denoted by arrows.

Table 3. Ionization potentials from structure-resonance theory



^aThe positions of alkyl substituents are denoted by arrows. k=0.40 k⁴=0.16. ^bRef. 45. ^cRef. 44. ^dRef. 46. ^eRef. 5. ^fRef. 6.





CONCLUDING REMARKS

It is noteworthy that the same values of k and k' for the transannular interactions can be used to correlate both bond lengths and ionization potentials. This parallels earlier structure-resonance theory results where it has been shown that parameters of the theory are generally transferable in discussions of different kinds of properties.¹⁴ The calculations could be elaborated using, for example, values of overlap integrals at the transannular points³⁹ to establish the k parameters. However, the simplest possible model is preferred at present, and the good agreement between experiments and calculations may constitute support for the choices of k. The interpretation of the results seems to require that neutral homoaromaticity be an observable phenomenon, reflected in ground state and excited state properties. IP's and bond lengths are correlated correctly by the theory only if homoconjugative interactions are included, and the very small number of necessary parameters leaves little leeway for adjusting results to fit experimental data.

The calculated resonance energies (Table 1) are quite substantial, which is in disagreement with some recent opinions^{48,49} regarding the existence of neutral homoaromaticity. This question could be further considered using the procedures that have been outlined. For example, it is readily apparent that either IP's or bond lengths could be used to calculate a separate optimum value of k for each compound. This k could then be used to calculate a resonance energy the value of which could be compared with other physical and chemical criteria for aromaticity. Whether or not such procedures will yield useful information regarding homoaromaticity is under investigation.

The advantages of using the structure-resonance theory presented here are the extreme simplicity of the algorithms for the calculations and the agreement of theory with relevant experimental data. No other theoretical approach gives so many detailed "predicted" experimental values in a wide variety of applications with so little labor. These are, of course, limitations to the structure-resonance theory approach. For example, Hückel MO calculations will give a predicted value for the first IP of a π hydrocarbon, and also values for the remaining π levels. Only the first IP is calculated by structure-resonance theory as presented in this paper. It is also appropriate to mention that Haddon's PMO approach^{7,8} can be used to obtain extremely good correlations of bond lengths in addition to estimates of resonance energies in bridged annulenes.

Structure-resonance theory can also be used to correlate the rates of cycloaddition reactions,^{50,51} and electrophilic,^{52,53} nucleophilic,³² and free radical reactions^{21,54} of benzenoid hydrocarbons. The calculations require no more than counting structures for reaction intermediates or postulated transition states to yield quantitative results. The formulism has also recently been extended to predictions of the relative stabilities of tricarbonyl(η^4 -diene)iron complexes⁵⁵ and other metal complexes. The application of these extensions to bridged annulene systems will hopefully be of interest.

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